** PUBLIC DISCLOSURE COPY **

(Rev. January 2020) Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

OMB No. 1545-0047

▶ Do not enter social security numbers on this form as it may be made public.

► Go to www.irs.gov/Form990 for instructions and the latest information.

Open to Public Inspection

| Α | For the | 2019 calendar year, or tax year beginning and ending | 1 | | |
|---------------|-----------------------------|---|--------------|-------------------------|--------------------------------|
| В | Check if applicable | C Name of organization | D E | mployer identific | cation number |
| Г | Addres | COMPASSIONATE ACTION FOR ANIMALS | | | |
| | Name change | | | 41-18461 | 92 |
| Ļ | Initial return | Number and street (or P.O. box if mail is not delivered to street address) Room/s | | elephone number | |
| | Final return/ termin- | 2100 1ST AVE S 200 | _ | 612-276- | |
| _ | ated | City or town, state or province, country, and ZIP or foreign postal code | | oss receipts \$ | 229,922. |
| 누 | return | MINNEAPOLIS, MN 33404 | | Is this a group re | |
| L | Application pending | | | for subordinates | |
| _ | | SAME AS C ABOVE | | Are all subordinates in | |
| | | empt status: X 501(c)(3) 501(c) () ((insert no.) 4947(a)(1) or expression www.explores.org | | | list. (see instructions) |
| | | · | | Group exemption | State of legal domicile: MN |
| | | Summary | rear or form | alion. 1991 N | State of legal doffliche, 1411 |
| | | Briefly describe the organization's mission or most significant activities: WE ENCOU | IRAGE | PEOPLE TO | CIII.TTVATE |
| & Governance | ' | EMPATHY FOR ANIMALS AND MOVE TOWARD A PLANT- | BASED | DIET. | <u> </u> |
| na | 2 | Check this box if the organization discontinued its operations or disposed of | | | sets. |
| Ne. | 3 | Number of voting members of the governing body (Part VI, line 1a) | | | 7 |
| Ğ | 4 | Number of independent voting members of the governing body (Part VI, line 1b) | | | 7 |
| Se | 5 | Total number of individuals employed in calendar year 2019 (Part V, line 2a) | | | 5 |
| Λįξί | | Total number of volunteers (estimate if necessary) | | | 385 |
| Activities | | Total unrelated business revenue from Part VIII, column (C), line 12 | | | 0. |
| _ | | Net unrelated business taxable income from Form 990-T, line 39 | | | 0. |
| | | | | ior Year | Current Year |
| ē | 8 | Contributions and grants (Part VIII, line 1h) | | 157,140. | 171,100. |
| enr | 9 | Program service revenue (Part VIII, line 2g) | | 54,782. | 58,243. |
| Revenue | 10 | nvestment income (Part VIII, column (A), lines 3, 4, and 7d) | | 1. | 139. |
| _ | 11 (| Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | | 171. | 440. |
| | | Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12) | ļ | 212,094. | 229,922. |
| | | Grants and similar amounts paid (Part IX, column (A), lines 1-3) | | 0. | 0. |
| | | Benefits paid to or for members (Part IX, column (A), line 4) | | 0. 94,721. | 0. |
| ses | 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10) | | 94,721. | 98,566. |
| Expenses | 16a | Professional fundraising fees (Part IX, column (A), line 11e) Total fundraising expenses (Part IX, column (D), line 25) 29,720. | | 0. | 0. |
| ă | 1,0 | | | 89,118. | 93,124. |
| | | Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e) Fotal expenses. Add lines 13-17 (must equal Part IX, column (A), line 25) | | 183,839. | 191,690. |
| | | Revenue less expenses. Subtract line 18 from line 12 | | 28,255. | 38,232. |
| JC Poc | 3 | nevenue less expenses. Subtract line 10 from line 12 | Reginning | of Current Year | End of Year |
| Net Assets or | 20 | Fotal assets (Part X, line 16) | | 66,830. | 101,547. |
| ASS | 21 | Fotal liabilities (Part X, line 26) | | 2,489. | 1,547. |
| Net Flet | 22 | Net assets or fund balances. Subtract line 21 from line 20 | | 64,341. | 100,000. |
| P | art II | Signature Block | • | | |
| Und | der pena | ties of perjury, I declare that I have examined this return, including accompanying schedules and st | atements, ar | nd to the best of my | knowledge and belief, it is |
| true | e, correc | t, and complete. Declaration of preparer (other than officer) is based on all information of which pre | parer has an | y knowledge. | |
| | | | | | |
| Sig | ın | Signature of officer | | Date | |
| He | re | CHRISTINE HOMSEY, TREASURER | | | |
| _ | | Type or print name and title | Date | 1 a | II PTIN |
| Da! | . | Print/Type preparer's name Preparer's signature Preparer's Signature Preparer's Signature | Date | Check L if | |
| Pai | | RYAN VETTRUS, CPA RYAN VETTRUS, CPA | | self-employe | d №01243596 41-1360831 |
| | parer e Only | Firm's name OLSEN THIELEN & CO., LTD. Firm's address 2675 LONG LAKE ROAD | | Firm's EIN | #T_T20002T |
| US | Unity | Firm's address 2675 LONG LAKE ROAD ROSEVILLE, MN 55113-1117 | | Phone no 65 | 1-483-4521 |
| N/10 | v the IE | IS discuss this return with the preparer shown above? (see instructions) | | TEHOUS HO. O. J. | X Yes No |
| 1410 | , | | | | 110 |

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|-----|--|---------------------|-----|
| Pai | rt III Statement of Program Service Accomplishments | [| _ |
| | Check if Schedule O contains a response or note to any line in this Part III | X | |
| 1 | Briefly describe the organization's mission: COMPASSIONATE ACTION FOR ANIMALS ENCOURAGES PEOPLE TEMPATHY FOR ANIMALS AND MOVE TOWARDS A PLANT-BASED D | | |
| | EMPAINT FOR ANIMALS AND MOVE TOWARDS A PLANT BASED D | TE1. | |
| | | | |
| | | | |
| 2 | Did the organization undertake any significant program services during the year which were not listed on prior Form 990 or 990-EZ? | 77 | 0 |
| | If "Yes," describe these new services on Schedule O. | | |
| 3 | Did the organization cease conducting, or make significant changes in how it conducts, any program ser | vices?Yes X N |) |
| | If "Yes," describe these changes on Schedule O. | | |
| 4 | Describe the organization's program service accomplishments for each of its three largest program service Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations | • • | |
| | revenue, if any, for each program service reported. | 50.043 | |
| 4a | (Code:) (Expenses \$132,896 • including grants of \$) FOR 2019: | (Revenue \$ 58,243. |) |
| | YOUR COMMITMENT AND GENEROSITY HELPED US SPARE THE L | IVES OF AN | |
| | ESTIMATED 252,000 ANIMALS IN 2019. TOGETHER, WE SHIF | | |
| | MEMBERS TOWARD PLANT-POWERED LIVING. | | |
| | THE PROPERTY OF THE PROPERTY O | | |
| | BRIDGES OF RESPECT: | | |
| | CAA'S HUMANE EDUCATION PROGRAM, BRIDGES OF RESPECT, | TNTRODUCED OVER | |
| | 1,100 STUDENTS TO A VARIETY OF ANIMAL PROTECTION ISS | | |
| | PRESENTATIONS ARE OFFERED IN CLASSROOMS THROUGHOUT T | | |
| | METRO AREA AND MORE INFORMATION IS AVAILABLE AT BRID | | |
| | | 0 | |
| | | | |
| | SEE SCHEDULE O FOR MORE INFORMATION. | | |
| 4h | SEE SCHEDULE O FOR MORE INFORMATION. | (Revenue \$ | _ |
| 4b | | (Revenue \$ | .) |
| 4b | | (Revenue \$ | .) |
| 4b | | (Revenue \$ | .) |
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| - | (Code:) (Expenses \$ | | |

4d Other program services (Describe on Schedule O.)

(Expenses \$ including grants of \$) (Revenue \$)

4e Total program service expenses ► 132

132,896.

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Part IV Checklist of Required Schedules Yes No 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? X If "Yes," complete Schedule A 1 X Is the organization required to complete Schedule B, Schedule of Contributors? 2 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for X public office? If "Yes," complete Schedule C, Part I 3 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect X during the tax year? If "Yes," complete Schedule C, Part II Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or X similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to X 6 provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I Did the organization receive or hold a conservation easement, including easements to preserve open space. X the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete X 8 Schedule D, Part III Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV X 9 Did the organization, directly or through a related organization, hold assets in donor-restricted endowments X or in quasi endowments? If "Yes," complete Schedule D, Part V 10 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VIII, IXI, or X a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D. Χ Part VI 11a b Did the organization report an amount for investments - other securities in Part X, line 12, that is 5% or more of its total X assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 11b c Did the organization report an amount for investments - program related in Part X, line 13, that is 5% or more of its total X assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII d Did the organization report an amount for other assets in Part X, line 15, that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX X e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 11e Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses X the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 11f 12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete X Schedule D, Parts XI and XII 12a b Was the organization included in consolidated, independent audited financial statements for the tax year? X If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional X Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E X 14a Did the organization maintain an office, employees, or agents outside of the United States? b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV X Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any X foreign organization? If "Yes," complete Schedule F, Parts II and IV 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to X or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV 16 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, X 17 column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines X 1c and 8a? If "Yes," complete Schedule G, Part II Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," X complete Schedule G, Part III X 20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H 20a b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or X domestic government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II

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Part IV Checklist of Required Schedules (continued) Yes No 22 Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on X Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III 22 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete X 23 24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete X Schedule K. If "No," go to line 25a b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease 24c any tax-exempt bonds? d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? 25a Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I X 25a b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete X 25b Did the organization report any amount on Part X. line 5 or 22, for receivables from or payables to any current 26 or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part II 26 X Did the organization provide a grant or other assistance to any current or former officer, director, trustee, key employee, creator or founder, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled Х entity (including an employee thereof) or family member of any of these persons? If "Yes," complete Schedule L, Part III 28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions, for applicable filing thresholds, conditions, and exceptions): a A current or former officer, director, trustee, key employee, creator or founder, or substantial contributor? If X "Yes," complete Schedule L, Part IV X b A family member of any individual described in line 28a? If "Yes," complete Schedule L, Part IV c A 35% controlled entity of one or more individuals and/or organizations described in lines 28a or 28b? If X "Yes," complete Schedule L, Part IV X Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M 29 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation X contributions? If "Yes," complete Schedule M 30 X Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I 31 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete X 32 Schedule N, Part II Did the organization own 100% of an entity disregarded as separate from the organization under Regulations Х sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I 34 Was the organization related to any tax-exempt or taxable entity? If "Yes." complete Schedule R. Part II, III, or IV, and X X **35a** Did the organization have a controlled entity within the meaning of section 512(b)(13)? b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? Х If "Yes," complete Schedule R, Part V, line 2 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI X 37 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? X Note: All Form 990 filers are required to complete Schedule O Statements Regarding Other IRS Filings and Tax Compliance Check if Schedule O contains a response or note to any line in this Part V No 1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable 0 **b** Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming Х

(gambling) winnings to prize winners?

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Part V

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Statements Regarding Other IRS Filings and Tax Compliance (continued)

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Yes No 2a Enter the number of employees reported on Form W-3. Transmittal of Wage and Tax Statements. filed for the calendar year ending with or within the year covered by this return b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Х 2b Note: If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) X 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation on Schedule O 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a X financial account in a foreign country (such as a bank account, securities account, or other financial account)? **b** If "Yes," enter the name of the foreign country See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR). X 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? **b** Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? X c If "Yes" to line 5a or 5b, did the organization file Form 8886-T? 6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit Х any contributions that were not tax deductible as charitable contributions? b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? 7 Organizations that may receive deductible contributions under section 170(c). Х a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? X b If "Yes," did the organization notify the donor of the value of the goods or services provided? 7b c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required X to file Form 8282? 7c d If "Yes," indicate the number of Forms 8282 filed during the year 7d X e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? X Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 7f f If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?... 7g h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? 7h Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year? 8 Sponsoring organizations maintaining donor advised funds. a Did the sponsoring organization make any taxable distributions under section 4966? b Did the sponsoring organization make a distribution to a donor, donor advisor, or related person? 10 Section 501(c)(7) organizations. Enter: a Initiation fees and capital contributions included on Part VIII, line 12 10a b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities Section 501(c)(12) organizations. Enter: a Gross income from members or shareholders b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? 12a 13 Section 501(c)(29) qualified nonprofit health insurance issuers. a Is the organization licensed to issue qualified health plans in more than one state? Note: See the instructions for additional information the organization must report on Schedule O. **b** Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans c Enter the amount of reserves on hand X 14a Did the organization receive any payments for indoor tanning services during the tax year? b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation on Schedule O 15 Is the organization subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? X 15 If "Yes," see instructions and file Form 4720, Schedule N. X Is the organization an educational institution subject to the section 4968 excise tax on net investment income? If "Yes," complete Form 4720, Schedule O.

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Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes on Schedule O. See instructions.

| | Check if Schedule O contains a response or note to any line in this Part VI | | | X |
|-----|---|----------|----------|------|
| Sec | tion A. Governing Body and Management | | | |
| | | | Yes | No |
| 1a | Enter the number of voting members of the governing body at the end of the tax year | 7 | | |
| | If there are material differences in voting rights among members of the governing body, or if the governing | | | |
| | body delegated broad authority to an executive committee or similar committee, explain on Schedule O. | | | |
| b | Enter the number of voting members included on line 1a, above, who are independent 1b | 7] | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other | | | |
| | officer, director, trustee, or key employee? | 2 | | X |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision | | | |
| | of officers, directors, trustees, or key employees to a management company or other person? | 3 | | X |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 4 | | Х |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | Х |
| 6 | Did the organization have members or stockholders? | 6 | | Х |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or | | | |
| | more members of the governing body? | 7a | | X |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or | | | |
| | persons other than the governing body? | 7b | | X |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: | | | |
| а | The governing body? | 8a | X | |
| b | Each committee with authority to act on behalf of the governing body? | 8b | X | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the | | | |
| | organization's mailing address? If "Yes," provide the names and addresses on Schedule O | 9 | | X |
| Sec | tion B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.) | | | |
| | | | Yes | No |
| | Did the organization have local chapters, branches, or affiliates? | 10a | | Х |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, | | | |
| | and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11a | Х | |
| | Describe in Schedule O the process, if any, used by the organization to review this Form 990. | | | |
| | Did the organization have a written conflict of interest policy? If "No," go to line 13 | 12a | X | |
| | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | Х | |
| С | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe | | l | |
| | in Schedule O how this was done | 12c | X | |
| 13 | Did the organization have a written whistleblower policy? | 13 | X | |
| 14 | Did the organization have a written document retention and destruction policy? | 14 | Х | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent | | | |
| | persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | 7.7 | |
| | The organization's CEO, Executive Director, or top management official | 15a | X | |
| b | Other officers or key employees of the organization | 15b | Х | |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a | | | 37 |
| | taxable entity during the year? | 16a | | X |
| b | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation | | | |
| | in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's | | | |
| | exempt status with respect to such arrangements? | 16b | | |
| | tion C. Disclosure | | | |
| 17 | List the states with which a copy of this Form 990 is required to be filed MN | -> : | , | |
| 18 | Section 6104 requires an organization to make its Forms 1023 (1024 or 1024-A, if applicable), 990, and 990-T (Section 501(c)(| 3)s only | /) avail | able |
| | for public inspection. Indicate how you made these available. Check all that apply. | | | |
| | X Own website | | | |
| 19 | Describe on Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, a | nd fina | ncial | |
| | statements available to the public during the tax year. | | | |
| 20 | State the name, address, and telephone number of the person who possesses the organization's books and records | | | |
| | CHRISTINE HOMSEY - 612-276-2242 2100 1ST AVE S, STE 200, MINNEAPOLIS, MN 55404 | | | |
| | 2100 1ST AVE S, STE 200, MINNEAPOLIS, MN 55404 | | | |

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Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five current highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

See instructions for the order in which to list the persons above.

| (A) | (B) (C) | | | | | | (D) | (E) | (F) | |
|--|--|---|-----------------------|----------|--------------|------------------------------|----------|--|--------------------------------------|--|
| Name and title | Average hours per week | Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | h an | Reportable compensation from | Reportable compensation from related | Estimated amount of other |
| | (list any hours for related organizations below line) | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | the organization (W-2/1099-MISC) | organizations (W-2/1099-MISC) | compensation from the organization and related organizations |
| (1) LAURA MATANAH | 40.00 | | | x | | | | 40 612 | 0. | 0 |
| EXECUTIVE DIRECTOR (2) NATHAN GAUT | 2.00 | \vdash | | ^ | \vdash | | | 49,613. | 0. | 0 |
| SECRETARY | 2.00 | X | | Х | | | | 250. | 0. | 0 |
| (3) ABRAHAM ROWE | 2.00 | 122 | | 25 | | | | 250. | | |
| PRESIDENT (JAN-APRIL) | | x | | х | | | | 0. | 0. | 0 |
| (4) VICTOR MASSAGLIA | 2.00 | T | | | | | | | | |
| PRESIDENT (APRIL-DEC) | | X | | Х | | | | 0. | 0. | 0 |
| (5) JULIE KNOPP | 2.00 | | | | | | | | | |
| PRESIDENT ELECT | | Х | | Х | | | | 0. | 0. | 0 |
| (6) CHRISTINE HOMSEY | 2.00 | ١,, | | | | | | | 0 | |
| TREASURER | 1 00 | Х | _ | Х | | _ | | 0. | 0. | 0 |
| (7) THERESA ZINGERY BOARD OF TRUSTEES | 1.00 | X | | | | | | 0. | 0. | 0 |
| (8) LUCIA SKINNER | 1.00 | 125 | \vdash | \vdash | \vdash | \vdash | | 0. | 0. | 0 |
| BOARD OF TRUSTEES | | x | | | | | | 0. | 0. | 0 |
| (9) HENRY PATTERSON | 1.00 | T | | | | | | | | |
| BOARD OF TRUSTEES | | X | | | | | | 0. | 0. | 0 |
| (10) MITCH THOMPSON | 1.00 | | | | | | | | | |
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932007 01-20-20 Form **990** (2019)

Form 990 (2019) COMPASSIONATE ACTION FOR ANIMALS

41-1846192

Page 8

| Section A. Officers, | Directors, Truste | | ploy | ees | | | ghe | st C | | | | | | |
|---|-------------------|-------------------|--------------------------------|-----------------------|----------|--------------|------------------------------|----------|---|------------------------------|-------|---------|------------------|-----|
| (A) | | (B) | | | ((| - | | | (D) | (E) | | | (F) | |
| Name and title | | Average | | not c | | more | than | | Reportable | Reportable | | | timate | |
| | | hours per week | | | | | is bot or/trus | | compensation | compensation | | | ount c | of |
| | | (list any | _ | | | | | , , | from the | from related | | | other | ion |
| | | hours for | Individual trustee or director | | | | _ | | organization | organizatior (W-2/1099-MI | | | pensat om the | |
| | | related | e or (| stee | | | sate | | (W-2/1099-MISC) | (** 2/ 1000 1411 | 50, | | anizatio | |
| | c | organizations | truste | Institutional trustee | | yee | mper | | (** 2/ 1000 ********************************* | | | _ | d relate | |
| | | below | idual | ution | ar. | key employee | est co oyee | Je I | | | | orga | nizatio | ns |
| | | line) | Indiv | Instit | Officer | Key e | Highest compensated employee | Former | | | | | | |
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| | - | | | | | | | | | | | | | |
| 1h Subtotal | | | | | | | | | 49,863. | | 0. | | | 0. |
| 1b Subtotal c Total from continuation s | | | | | | | | | 0. | | 0. | | | 0. |
| d Total (add lines 1b and 1c | | | | | | | | | 49,863. | | 0. | | | 0. |
| 2 Total number of individuals | | | | | | | | | | 0.000 of reportab | | | | |
| compensation from the org | | | | | | | -, | | ·· , | , | | | | 0 |
| | | | | | | | | | | | | | Yes | No |
| 3 Did the organization list an | • | | | • | | • | - | _ | | • | | | | |
| line 1a? If "Yes," complete | Schedule J for su | ch individual | | | | | | | | | | 3 | | X |
| 4 For any individual listed on | | = | | - | | | | | · · · · · · · · · · · · · · · · · · · | the organization | | | | 37 |
| and related organizations of | • | | | | | | | | | | | 4 | | X |
| 5 Did any person listed on lin | | = | | | | - | | | - | | 3 | 5 | | Х |
| rendered to the organization Section B. Independent Control | | nete Scrieduit | . 0 1 | UI SI | icii į | Ders | | | | | | 5 | | |
| 1 Complete this table for you | | npensated ind | depe | ende | nt c | ontr | racto | ors t | that received more than | \$100,000 of cor | npens | ation f | rom | |
| the organization. Report co | | | | | | | | | | | | | | |
| | (A) | | | | _ | | | | (B) | | _ | (C | | |
| Nan | ne and business a | address | NC | ONE | <u> </u> | | | _ | Description of s | ervices | C | omper | nsation | 1 |
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| | | | | | | | | | | | | | | |
| 2 Total number of independe \$100,000 of compensation | | | ot li | mite | d to | tho: | se lis | stec | d above) who received m | nore than | | | | |
| \$ 100,000 or compondation | Jiii and organize | | | | | | | | | | | | | |

COMPASSIONATE ACTION FOR ANIMALS 41-1846192 Form 990 (2019) Page 9 Part VIII Statement of Revenue Check if Schedule O contains a response or note to any line in this Part VIII Revenuè éxcluded Related or exempt Unrelated Total revenue from tax under function revenue business revenue sections 512 - 514 Contributions, Gifts, Grants and Other Similar Amounts 1 a Federated campaigns **b** Membership dues 1b c Fundraising events 1c d Related organizations 1d e Government grants (contributions) 1e f All other contributions, gifts, grants, and 171,100. similar amounts not included above 1f g Noncash contributions included in lines 1a-1f 1g |\$ 171,100. h Total. Add lines 1a-1f **Business Code** 2 a PROGRAM SERVICE REVENU 58,243. 58,243. 900099 Program Service Revenue f All other program service revenue 58,243. g Total. Add lines 2a-2f Investment income (including dividends, interest, and 139. 139 other similar amounts) Income from investment of tax-exempt bond proceeds Royalties (i) Real (ii) Personal 6 a Gross rents **b** Less: rental expenses ... 6b c Rental income or (loss) d Net rental income or (loss) (i) Securities (ii) Other 7 a Gross amount from sales of assets other than inventory 7a **b** Less: cost or other basis Other Revenue and sales expenses 7b c Gain or (loss) d Net gain or (loss) 8 a Gross income from fundraising events (not including \$ contributions reported on line 1c). See Part IV, line 18 **b** Less: direct expenses c Net income or (loss) from fundraising events 9 a Gross income from gaming activities. See Part IV, line 19 **b** Less: direct expenses 9b c Net income or (loss) from gaming activities 10 a Gross sales of inventory, less returns and allowances **b** Less: cost of goods sold c Net income or (loss) from sales of inventory **Business Code** Miscellaneous Revenue 440. 11 a MISCELLANOUS REVENUE 900099 440.

440.

58,243.

229,922.

Form 990 (2019)

d All other revenue

Total revenue. See instructions

e Total. Add lines 11a-11d

Form 990 (2019)

COMPASSIONATE ACTION FOR ANIMALS

41-1846192 Page **10**

| | t IX Statement of Functional Expens | | | | |
|------|---|----------------------------|---|-------------------------------------|---------------------------------|
| Sect | on 501(c)(3) and 501(c)(4) organizations must com | plete all columns. All oth | ner organizations must co | omplete column (A). | |
| | Check if Schedule O contains a respor | | | | (D) |
| | not include amounts reported on lines 6b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
| 1 | Grants and other assistance to domestic organizations | | | | |
| | and domestic governments. See Part IV, line 21 | | | | |
| 2 | Grants and other assistance to domestic | | | | |
| • | individuals. See Part IV, line 22 | | | | |
| 3 | Grants and other assistance to foreign | | | | |
| | organizations, foreign governments, and foreign | | | | |
| 4 | individuals. See Part IV, lines 15 and 16 Benefits paid to or for members | | | | |
| 5 | Compensation of current officers, directors, | | | | |
| J | trustees, and key employees | 49,863. | 36,502. | 5,260. | 8,101. |
| 6 | Compensation not included above to disqualified | . , | , , , , | -, | |
| | persons (as defined under section 4958(f)(1)) and | | | | |
| | persons described in section 4958(c)(3)(B) | | | | |
| 7 | Other salaries and wages | 41,675. | 30,508. | 4,396. | 6,771. |
| 8 | Pension plan accruals and contributions (include | | | | |
| | section 401(k) and 403(b) employer contributions) | | | | |
| 9 | Other employee benefits | | F 100 | F0.4 | 1 116 |
| 10 | Payroll taxes | 7,028. | 5,188. | 724. | 1,116. |
| 11 | Fees for services (nonemployees): | | | | |
| a | Management | 6,191. | 2,476. | 3,065. | 650. |
| b | Legal | 0,191. | 2,470. | 3,003. | 030. |
| d | Accounting Lobbying | | | | |
| e | Professional fundraising services. See Part IV, line 17 | | | | |
| f | Investment management fees | | | | |
| g | Other. (If line 11g amount exceeds 10% of line 25, | | | | |
| _ | column (A) amount, list line 11g expenses on Sch O.) | 1,181. | | 1,181. | |
| 12 | Advertising and promotion | 998. | 931. | | 67. |
| 13 | Office expenses | 15,575. | 13,053. | 431. | 2,091. |
| 14 | Information technology | 3,287. | 105. | 3,083. | 99. |
| 15 | Royalties | 14 120 | | 0 400 | F 720 |
| 16 | Occupancy | 14,138. | 1 024 | 8,400. | 5,738. |
| 17 | Travel | 1,934. | 1,934. | | |
| 18 | Payments of travel or entertainment expenses | | | | |
| 19 | for any federal, state, or local public officials Conferences, conventions, and meetings | 13,745. | 11,322. | 66. | 2,357. |
| 20 | · | 13,713. | 11,522. | 00. | 2,337* |
| 21 | Payments to affiliates | | | + | |
| 22 | Depreciation, depletion, and amortization | | | | |
| 23 | Insurance | 1,954. | | 1,954. | |
| 24 | Other expenses. Itemize expenses not covered above (List miscellaneous expenses on line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule 0.) | | | | |
| а | EQUIPMENT RENTAL | 6,819. | 6,098. | | 721. |
| b | WASTE MANAGEMENT | 4,245. | 4,245. | | |
| С | VEHICLE RENTAL | 3,893. | 3,090. | | 803. |
| d | SOUND EQUIPMENT & SERVI | 3,176. | 3,176. | | |
| е | All other expenses | 15,988. | 14,268. | 514. | 1,206. |
| 25 | Total functional expenses. Add lines 1 through 24e | 191,690. | 132,896. | 29,074. | 29,720. |
| 26 | Joint costs. Complete this line only if the organization | | | | |
| | reported in column (B) joint costs from a combined | | | | |
| | educational campaign and fundraising solicitation. Check here if following SOP 98-2 (ASC 958-720) | | | | |
| | II IOIIOWING SUP 98-2 (ASC 958-720) | | | | - 000 (aa ta) |

Form 990 (2019)

COMPASSIONATE ACTION FOR ANIMALS

41-1846192 Page **11**

Part X Balance Sheet Check if Schedule O contains a response or note to any line in this Part X Beginning of year End of year 101,547. 63,454. Cash - non-interest-bearing 1 2 Savings and temporary cash investments 3 Pledges and grants receivable, net 4 Accounts receivable, net 4 **5** Loans and other receivables from any current or former officer, director, trustee, key employee, creator or founder, substantial contributor, or 35% controlled entity or family member of any of these persons 5 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), and persons described in section 4958(c)(3)(B) 6 7 Notes and loans receivable, net Inventories for sale or use 8 Prepaid expenses and deferred charges 9 10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D 10a b Less: accumulated depreciation 10b 10c Investments - publicly traded securities 11 11 Investments - other securities. See Part IV, line 11 12 13 Investments - program-related. See Part IV, line 11 13 14 Intangible assets 3,376. Other assets. See Part IV, line 11 15 15 66,830. 101,547 16 16 Total assets. Add lines 1 through 15 (must equal line 33) 17 Accounts payable and accrued expenses 17 18 Grants payable 18 19 19 Deferred revenue Tax-exempt bond liabilities 20 20 Escrow or custodial account liability. Complete Part IV of Schedule D Loans and other payables to any current or former officer, director, _iabilities trustee, key employee, creator or founder, substantial contributor, or 35% 22 controlled entity or family member of any of these persons Secured mortgages and notes payable to unrelated third parties 23 Unsecured notes and loans payable to unrelated third parties 24 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X 2,489 1,547. 2,489. 1,547. **Total liabilities.** Add lines 17 through 25 Organizations that follow FASB ASC 958, check here Net Assets or Fund Balances and complete lines 27, 28, 32, and 33. Net assets without donor restrictions 27 Net assets with donor restrictions 28 Organizations that do not follow FASB ASC 958, check here 🕨 🗓 and complete lines 29 through 33. 0. 0. 29 Capital stock or trust principal, or current funds 29 0. Paid-in or capital surplus, or land, building, or equipment fund 30 64,341. 100,000. Retained earnings, endowment, accumulated income, or other funds 31 31 64,341. 100,000. Total net assets or fund balances 32 66,830. 101,547. Total liabilities and net assets/fund balances

Form **990** (2019)

COMPASSIONATE ACTION FOR ANIMALS 41-1846192 Page **12** Form 990 (2019) Part XI Reconciliation of Net Assets Check if Schedule O contains a response or note to any line in this Part XI 229,922. 1 Total revenue (must equal Part VIII, column (A), line 12) 191,690. Total expenses (must equal Part IX, column (A), line 25) 2 2 38,232. 3 Revenue less expenses. Subtract line 2 from line 1 64,341. Net assets or fund balances at beginning of year (must equal Part X, line 32, column (A)) 4 Net unrealized gains (losses) on investments 5 5 Donated services and use of facilities 6 6 7 Investment expenses 8 Prior period adjustments 8 Other changes in net assets or fund balances (explain on Schedule O) 9 Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 32, 10 100,000. column (B)) Part XII Financial Statements and Reporting X Check if Schedule O contains a response or note to any line in this Part XII Yes No Accounting method used to prepare the Form 990: X Cash Accrual If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O. 2a Were the organization's financial statements compiled or reviewed by an independent accountant? X 2a If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: Both consolidated and separate basis Consolidated basis X b Were the organization's financial statements audited by an independent accountant? 2b If "Yes." check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: Separate basis Consolidated basis Both consolidated and separate basis c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? 2c If the organization changed either its oversight process or selection process during the tax year, explain on Schedule O. 3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit X Act and OMB Circular A-133? b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit

or audits, explain why on Schedule O and describe any steps taken to undergo such audits

Form **990** (2019)

SCHEDULE A

(Form 990 or 990-EZ)

Department of the Treasury Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ.

► Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047

Open to Public Inspection

Name of the organization

COMPASSIONATE ACTION FOR ANIMALS

Employer identification number

41-1846192 Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions. The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.) 1 A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i). 2 A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).) 3 A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii). A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state: An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.) A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v). 7 X An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.) 8 A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university: 10 An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.) 11 An organization organized and operated exclusively to test for public safety. See section 509(a)(4). 12 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box in lines 12a through 12d that describes the type of supporting organization and complete lines 12e, 12f, and 12g. Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B. Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV. Sections A and C. Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E. Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V. Check this box if the organization received a written determination from the IRS that it is a Type I, Type III, Type III functionally integrated, or Type III non-functionally integrated supporting organization. f Enter the number of supported organizations Provide the following information about the supported organization(s). (iv) Is the organization listed (i) Name of supported (ii) EIN (iii) Type of organization (v) Amount of monetary (vi) Amount of other your governing document? (described on lines 1-10 organization support (see instructions) support (see instructions) Yes No above (see instructions)) Total

Schedule A (Form 990 or 990-EZ) 2019 COMPASSIONATE ACTION FOR ANIMALS

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Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.) Section A. Public Support Calendar year (or fiscal year beginning in) (a) 2015 (b) 2016 (c) 2017 (d) 2018 (e) 2019 (f) Total 1 Gifts, grants, contributions, and membership fees received. (Do not 107,924. 114,605. 49,565 157,140. 171,100. 600,334. include any "unusual grants.") 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf 3 The value of services or facilities furnished by a governmental unit to the organization without charge 157,140. 107,924. 114,605. 49,565. 171,100. 600,334. 4 Total. Add lines 1 through 3 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11. column (f) 356,485. 243,849. 6 Public support. Subtract line 5 from line 4 Section B. Total Support (b) 2016 114,605. (c) 2017 (d) 2018 (e) 2019 (f) Total 49,565. 157,140. 171,100. 600,334. Calendar year (or fiscal year beginning in) (a) 2015 107.924. 7 Amounts from line 4

| • | , arrounte mem me i | . , - | , | - / | | , | |
|-----|---|-----------------------------|------------------------|------------------------|----------------------|--------------------|-------------|
| 8 | Gross income from interest, | | | | | | |
| | dividends, payments received on | | | | | | |
| | securities loans, rents, royalties, | | | | | | |
| | and income from similar sources | 144. | 46. | | 1. | 139 | . 330. |
| 9 | Net income from unrelated business | | | | | | |
| | activities, whether or not the | | | | | | |
| | business is regularly carried on | | | | | | |
| 10 | Other income. Do not include gain | | | | | | |
| | or loss from the sale of capital | | | | | | |
| | assets (Explain in Part VI.) | 1,681. | 113. | 264. | 171. | 440 | , |
| 11 | Total support. Add lines 7 through 10 | | | | | | 603,333. |
| 12 | Gross receipts from related activities, | etc. (see instruction | ons) | | | 12 | 173,819. |
| 13 | First five years. If the Form 990 is for | r the organization's | s first, second, thir | d, fourth, or fifth ta | ax year as a sectio | n 501(c)(3) | |
| _ | organization, check this box and stor | | | | | | > |
| | ction C. Computation of Publ | | | | | | |
| | Public support percentage for 2019 (| | | | | | 40.42 % |
| 15 | Public support percentage from 2018 | Schedule A, Part | II, line 14 | | | 15 | 42.04 % |
| 16a | 33 1/3% support test - 2019. If the o | • | | • | | • | |
| | stop here. The organization qualifies | as a publicly supp | orted organization | | | | ▶ X |
| b | 33 1/3% support test - 2018. If the o | organization did no | ot check a box on I | ine 13 or 16a, and | line 15 is 33 1/3% | 6 or more, check | |
| | and stop here. The organization qual | ifies as a publicly s | supported organization | ation | | | ▶□ |
| 17a | 10% -facts-and-circumstances tes | t - 2019. If the org | anization did not o | check a box on line | e 13, 16a, or 16b, a | and line 14 is 109 | % or more, |
| | and if the organization meets the "fac | | , | • | • | • | |
| | meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization | | | | | | |
| b | b 10% -facts-and-circumstances test - 2018. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or | | | | | | |

Schedule A (Form 990 or 990-EZ) 2019

Schedule A (Form 990 or 990-EZ) 2019 COMPASSIONATE ACTION FOR ANIMALS

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Part III | Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to

| Sec | qualify under the tests listed beat cition A. Public Support | elow, please com | plete Part II.) | | | | |
|------------|--|--|----------------------|------------------------|---------------------|----------------------|-------------|
| | ndar year (or fiscal year beginning in) | (a) 2015 | (b) 2016 | (6) 2017 | (4) 2010 | (e) 2010 | (f) Total |
| | Gifts, grants, contributions, and | (a) 2015 | (b) 2016 | (c) 2017 | (d) 2018 | (e) 2019 | (f) Total |
| ٠ | membership fees received. (Do not | | | | | | |
| | include any "unusual grants.") | | | | | | |
| 0 | | | | | - | <u> </u> | |
| 2 | Gross receipts from admissions, merchandise sold or services per- | | | | | | |
| | formed, or facilities furnished in | | | | | | |
| | any activity that is related to the | | | | | | |
| _ | organization's tax-exempt purpose | | | | | | |
| 3 | Gross receipts from activities that | | | | | | |
| | are not an unrelated trade or bus- | | | | | | |
| | iness under section 513 | | | | | | |
| 4 | Tax revenues levied for the organ- | | | | | | |
| | ization's benefit and either paid to | | | | | | |
| _ | or expended on its behalf | | | | | | |
| 5 | The value of services or facilities | | | | | | |
| | furnished by a governmental unit to | | | | | | |
| _ | the organization without charge | | | | | | |
| | Total. Add lines 1 through 5 | | | | | | |
| 7 <i>a</i> | Amounts included on lines 1, 2, and | | | | | | |
| | 3 received from disqualified persons | | | | | | |
| | Amounts included on lines 2 and 3 received from other than disqualified persons that | | | | | | |
| | exceed the greater of \$5,000 or 1% of the | | | | | | |
| | amount on line 13 for the year | | | | | | |
| | Add lines 7a and 7b | | | | | | |
| | Public support. (Subtract line 7c from line 6.) | | | | | | |
| | ction B. Total Support | | | 1 | | | |
| | ndar year (or fiscal year beginning in) | (a) 2015 | (b) 2016 | (c) 2017 | (d) 2018 | (e) 2019 | (f) Total |
| | Amounts from line 6 | | | | | | _ |
| 10a | Gross income from interest, dividends, payments received on | | | | | | |
| | securities loans, rents, royalties, | | | | | | |
| | and income from similar sources | | | | | | |
| b | Unrelated business taxable income | | | | | | |
| | (less section 511 taxes) from businesses | | | | | | |
| | acquired after June 30, 1975 | | | | | | |
| | Add lines 10a and 10b | | | | | | |
| 11 | Net income from unrelated business | | | | | | |
| | activities not included in line 10b, whether or not the business is | | | | | | |
| | regularly carried on | | | | | | |
| 12 | Other income. Do not include gain or loss from the sale of capital | | | | | | |
| | assets (Explain in Part VI.) | | | | | | |
| 13 | Total support. (Add lines 9, 10c, 11, and 12.) | | | | | | |
| 14 | First five years. If the Form 990 is for | the organization' | s first, second, thi | rd, fourth, or fifth t | ax year as a sectio | on 501(c)(3) organiz | ation, |
| | | | | | | | > |
| Sec | ction C. Computation of Publi | ic Support Pe | rcentage | | | | |
| 15 | Public support percentage for 2019 (I | ine 8, column (f), o | divided by line 13, | column (f)) | | 15 | % |
| 16 | Public support percentage from 2018 | Schedule A, Part | : III, line 15 | | | 16 | % |
| Sec | ction D. Computation of Inves | stment Incom | e Percentage | | | | |
| 17 | Investment income percentage for 20 | 19 (line 10c, colur | mn (f), divided by I | ine 13, column (f)) | | 17 | % |
| 18 | Investment income percentage from 2 | 2018 Schedule A, | Part III, line 17 | | | 18 | % |
| | 33 1/3% support tests - 2019. If the | | | | | 33 1/3%, and line 1 | 17 is not |
| | more than 33 1/3%, check this box ar | nd stop here. The | organization quali | fies as a publicly s | supported organiza | ation | |
| b | 33 1/3% support tests - 2018. If the | | | | | | |
| | line 18 is not more than 33 1/3%, che | | | | | | |
| 20 | Private foundation. If the organizatio | | | | | | |

Schedule A (Form 990 or 990-EZ) 2019 COMPASSIONATE ACTION FOR ANIMALS

41-1846192 Page 4

Part IV | Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections A and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

Section A. All Supporting Organizations

- 1 Are all of the organization's supported organizations listed by name in the organization's governing documents? If "No," describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.
- 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If "Yes," explain in **Part VI** how the organization determined that the supported organization was described in section 509(a)(1) or (2).
- 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If "Yes," answer (b) and (c) below.
- **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If "Yes," describe in **Part VI** when and how the organization made the determination.
- c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If "Yes," explain in Part VI what controls the organization put in place to ensure such use.
- **4a** Was any supported organization not organized in the United States ("foreign supported organization")? *If* "Yes," and if you checked 12a or 12b in Part I, answer (b) and (c) below.
- **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If "Yes," describe in **Part VI** how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.
- c Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If "Yes," explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.
- 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If "Yes," answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).
- **b Type I or Type II only.** Was any added or substituted supported organization part of a class already designated in the organization's organizing document?
- c Substitutions only. Was the substitution the result of an event beyond the organization's control?
- 6 Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization's supported organizations? If "Yes," provide detail in Part VI.
- 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (as defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 8 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If "Yes," complete Part I of Schedule L (Form 990 or 990-EZ).
- 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If "Yes," provide detail in Part VI.
- **b** Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If* "Yes," *provide detail in* **Part VI.**
- c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If "Yes," provide detail in Part VI.
- 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If "Yes," answer 10b below.
 - **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)

| | | Yes | No |
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PUBLIC DISCLOSURE COPY Schedule A (Form 990 or 990-EZ) 2019 COMPASSIONATE ACTION FOR ANIMALS 41-1846192 Page 5 Part IV Supporting Organizations (continued) Yes No 11 Has the organization accepted a gift or contribution from any of the following persons? a A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the governing body of a supported organization? 11a **b** A family member of a person described in (a) above? 11b c A 35% controlled entity of a person described in (a) or (b) above? If "Yes" to a, b, or c, provide detail in Part VI. 11c Section B. Type I Supporting Organizations Yes No 1 Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint or elect at least a majority of the organization's directors or trustees at all times during the tax year? If "No," describe in Part VI how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year. 1 2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If "Yes," explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization. 2 Section C. Type II Supporting Organizations Yes No 1 Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If "No," describe in Part VI how control or management of the supporting organization was vested in the same persons that controlled or managed the supported organization(s). 1 Section D. All Type III Supporting Organizations Yes No 1 Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the organization's governing documents in effect on the date of notification, to the extent not previously provided? 1 2 Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If "No," explain in Part VI how the organization maintained a close and continuous working relationship with the supported organization(s). 2 3 By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If "Yes," describe in Part VI the role the organization's supported organizations played in this regard. 3 Section E. Type III Functionally Integrated Supporting Organizations 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the yeafsee instructions).

| а | The organization satisfied the Activities Test. Complete line 2 below. | | | |
|------------|---|----------|-----|----|
| | The organization dationed the rectifice root will be a second | | | |
| b | The organization is the parent of each of its supported organizations. Complete line 3 below. | | | |
| С | The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see inst | ructions |). | |
| 2 A | Activities Test. Answer (a) and (b) below. | | Yes | No |
| a D | Did substantially all of the organization's activities during the tax year directly further the exempt purposes of | | | |
| tl | the supported organization(s) to which the organization was responsive? If "Yes," then in Part VI identify | | | |
| ti | those supported organizations and explain how these activities directly furthered their exempt purposes, | | | |
| h | now the organization was responsive to those supported organizations, and how the organization determined | | | |
| ti | that these activities constituted substantially all of its activities. | 2a | | |
| b [| Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more | | | |
| 0 | of the organization's supported organization(s) would have been engaged in? If "Yes," explain in Part VI the | | | |
| re | reasons for the organization's position that its supported organization(s) would have engaged in these | | | |
| а | activities but for the organization's involvement. | 2b | | |
| 3 F | Parent of Supported Organizations. Answer (a) and (b) below. | | | |
| a D | Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or | | | |
| tı | rustees of each of the supported organizations? Provide details in Part VI. | За | | |
| b [| Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each | | | |
| 0 | of its supported organizations? If "Yes," describe in Part VI the role played by the organization in this regard. | 3b | | |

Schedule A (Form 990 or 990-EZ) 2019 COMPASSIONATE ACTION FOR ANIMALS

41-1846192 Page 6

| Pa | rt V Type III Non-Functionally Integrated 509(a)(3) Supportin | g Orga | nizations | |
|------|---|------------|-----------------------------|--------------------------------|
| 1 | Check here if the organization satisfied the Integral Part Test as a qualifying | g trust on | Nov. 20, 1970 (explain in | Part VI). See instructions. Al |
| | other Type III non-functionally integrated supporting organizations must co | mplete S | ections A through E. | |
| Sect | ion A - Adjusted Net Income | | (A) Prior Year | (B) Current Year (optional) |
| 1 | Net short-term capital gain | 1 | | |
| 2 | Recoveries of prior-year distributions | 2 | | |
| 3 | Other gross income (see instructions) | 3 | | |
| 4 | Add lines 1 through 3. | 4 | | |
| 5 | Depreciation and depletion | 5 | | |
| 6 | Portion of operating expenses paid or incurred for production or | | | |
| | collection of gross income or for management, conservation, or | | | |
| | maintenance of property held for production of income (see instructions) | 6 | | |
| 7 | Other expenses (see instructions) | 7 | | |
| 8 | Adjusted Net Income (subtract lines 5, 6, and 7 from line 4) | 8 | | |
| Sect | ion B - Minimum Asset Amount | | (A) Prior Year | (B) Current Year (optional) |
| 1 | Aggregate fair market value of all non-exempt-use assets (see | | | |
| | instructions for short tax year or assets held for part of year): | | | |
| а | Average monthly value of securities | 1a | | |
| b | Average monthly cash balances | 1b | | |
| С | Fair market value of other non-exempt-use assets | 1c | | |
| d | Total (add lines 1a, 1b, and 1c) | 1d | | |
| е | Discount claimed for blockage or other | | | |
| | factors (explain in detail in Part VI): | | | |
| 2 | Acquisition indebtedness applicable to non-exempt-use assets | 2 | | |
| 3 | Subtract line 2 from line 1d. | 3 | | |
| 4 | Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, | | | |
| | see instructions). | 4 | | |
| 5 | Net value of non-exempt-use assets (subtract line 4 from line 3) | 5 | | |
| 6 | Multiply line 5 by .035. | 6 | | |
| 7 | Recoveries of prior-year distributions | 7 | | |
| 8 | Minimum Asset Amount (add line 7 to line 6) | 8 | | |
| Sect | ion C - Distributable Amount | | | Current Year |
| 1 | Adjusted net income for prior year (from Section A, line 8, Column A) | 1 | | |
| 2 | Enter 85% of line 1. | 2 | | |
| 3 | Minimum asset amount for prior year (from Section B, line 8, Column A) | 3 | | |
| 4 | Enter greater of line 2 or line 3. | 4 | | |
| 5 | Income tax imposed in prior year | 5 | | |
| 6 | Distributable Amount. Subtract line 5 from line 4, unless subject to | | | |
| | emergency temporary reduction (see instructions). | 6 | | |
| 7 | Check here if the current year is the organization's first as a non-functional | y integrat | ted Type III supporting org | anization (see |
| | instructions). | | | |

Schedule A (Form 990 or 990-EZ) 2019

Schedule A (Form 990 or 990-EZ) 2019 COMPASSIONATE ACTION FOR ANIMALS 41-1846192 Page 7 Part V Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations (continued) Section D - Distributions **Current Year** Amounts paid to supported organizations to accomplish exempt purposes 1 Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity Administrative expenses paid to accomplish exempt purposes of supported organizations Amounts paid to acquire exempt-use assets Qualified set-aside amounts (prior IRS approval required) Other distributions (describe in Part VI). See instructions. 6 Total annual distributions. Add lines 1 through 6. Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions. Distributable amount for 2019 from Section C, line 6 10 Line 8 amount divided by line 9 amount (i) (ii) (iii) Underdistributions Distributable Section E - Distribution Allocations (see instructions) **Excess Distributions** Amount for 2019 Pre-2019 Distributable amount for 2019 from Section C, line 6 1 Underdistributions, if any, for years prior to 2019 (reasonable cause required- explain in Part VI). See instructions. Excess distributions carryover, if any, to 2019 a From 2014 **b** From 2015 **c** From 2016 d From 2017 e From 2018 f Total of lines 3a through e g Applied to underdistributions of prior years h Applied to 2019 distributable amount i Carryover from 2014 not applied (see instructions) j Remainder. Subtract lines 3g, 3h, and 3i from 3f. 4 Distributions for 2019 from Section D, a Applied to underdistributions of prior years **b** Applied to 2019 distributable amount c Remainder, Subtract lines 4a and 4b from 4. Remaining underdistributions for years prior to 2019, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions. 6 Remaining underdistributions for 2019. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions. Excess distributions carryover to 2020. Add lines 3j and 4c. 8 Breakdown of line 7: a Excess from 2015

Schedule A (Form 990 or 990-EZ) 2019

b Excess from 2016c Excess from 2017d Excess from 2018e Excess from 2019

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V,

Schedule A (Form 990 or 990-EZ) 2019 COMPASSIONATE ACTION FOR ANIMALS

41-1846192 Page 8

Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.) SCHEDULE A, PART II, LINE 10, EXPLANATION FOR OTHER INCOME: OTHER INCOME 1,681. 2015 AMOUNT: \$ 2016 AMOUNT: 113. 2017 AMOUNT: 264. 171. 2018 AMOUNT: 440. 2019 AMOUNT:

Part VI

PUBLIC PUBLIC COPY

Schedule B (Form 990, 990-EZ,

or 990-PF) Department of the Treasury Internal Revenue Service

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF. ► Go to www.irs.gov/Form990 for the latest information. OMB No. 1545-0047

Name of the organization

COMPASSIONATE ACTION FOR ANIMALS

Employer identification number

41-1846192

| Organiz | Organization type (check one): | | | | | | |
|-----------|--|--|--|--|--|--|--|
| Filers of | f: | Section: | | | | | |
| Form 99 | 0 or 990-EZ | X 501(c)(3) (enter number) organization | | | | | |
| | | 4947(a)(1) nonexempt charitable trust not treated as a private foundation | | | | | |
| | | 527 political organization | | | | | |
| Form 99 | 0-PF | 501(c)(3) exempt private foundation | | | | | |
| | | 4947(a)(1) nonexempt charitable trust treated as a private foundation | | | | | |
| | | 501(c)(3) taxable private foundation | | | | | |
| | | covered by the General Rule or a Special Rule . 7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions. | | | | | |
| General | Rule | | | | | | |
| | - | filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions. | | | | | |
| Special | Rules | | | | | | |
| X | sections 509(a)(1) a any one contributo | described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from r, during the year, total contributions of the greater of (1) \$5,000; or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h; line 1. Complete Parts I and II. | | | | | |
| | year, total contribu | described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the tions of more than \$1,000 exclusively for religious, charitable, scientific, literary, or educational purposes, or for the ty to children or animals. Complete Parts I, II, and III. | | | | | |
| | year, contributions is checked, enter h purpose. Don't con | described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the exclusively for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box ere the total contributions that were received during the year for an exclusively religious, charitable, etc., applete any of the parts unless the General Rule applies to this organization because it received nonexclusively e, etc., contributions totaling \$5,000 or more during the year | | | | | |
| | | at isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to | | | | | |

LHA For Paperwork Reduction Act Notice, see the instructions for Form 990, 990-EZ, or 990-PF.

certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

COMPASSIONATE ACTION FOR ANIMALS

1 age 2

41-1846192

Name of organization Employer identification number

| Part I | Contributors (see instructions). Use duplicate copies of Part I if addition | onal space is needed. | | | |
|------------|---|----------------------------|--|--|--|
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | |
| 1 | | \$\$ | Person X Payroll | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | |
| 2 | | - - \$\$12,050. | Person X Payroll | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | |
| 3 | | \$ 30,000. | Person X Payroll | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | |
| 4 | | - - \$6,418. | Person X Payroll | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | |
| 5 | | \$\$ | Person X Payroll | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | |
| | | - \$ | Person Payroll Noncash (Complete Part II for noncash contributions.) | | |

Page 3

Name of organization Employer identification number

COMPASSIONATE ACTION FOR ANIMALS

41-1846192

| Part II | Noncash Property (see instructions). Use duplicate copies of Part II if | f additional space is needed. | | |
|------------------------------|---|--|------------------------------|--|
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received | |
| | | - - - - - \$ | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received | |
| | | - - - - \$ | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received | |
| | | \$ | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received | |
| | | \$ | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received | |
| | | - - - - - - - - - - | | |
| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (See instructions.) | (d) Date received | |
| 923453 11-06 | | - - - - - - - - - | 990 990.EZ or 990.PE) (2019) | |

Schedule B (Form 990, 990-EZ, or 990-PF) (2019)

Name of organization

Page **4**

Employer identification number

41-1846192 COMPASSIONATE ACTION FOR ANIMALS Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year Part III from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this info. once.) Use duplicate copies of Part III if additional space is needed. (a) No. `fŕom Part I (b) Purpose of gift (c) Use of gift (d) Description of how gift is held (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from (c) Use of gift (b) Purpose of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from Part I (b) Purpose of gift (c) Use of gift (d) Description of how gift is held (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee (a) No. from (b) Purpose of gift (c) Use of gift (d) Description of how gift is held Part I (e) Transfer of gift Transferee's name, address, and ZIP + 4 Relationship of transferor to transferee

SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

▶ Complete if the organization answered "Yes" on Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

▶ Attach to Form 990.

►Go to www.irs.gov/Form990 for instructions and the latest information.

OMB No. 1545-0047 Open to Public Inspection

Name of the organization

COMPASSIONATE ACTION FOR ANIMALS

Employer identification number 41-1846192

| Pai | t I Organizations Maintaining Donor Advised F | | or Acco | unts. Complete if the |
|------|--|---|----------------|---------------------------------|
| | organization answered "Yes" on Form 990, Part IV, line 6. | | | · |
| | | (a) Donor advised funds | (b) Fur | nds and other accounts |
| 1 | Total number at end of year | | | |
| 2 | Aggregate value of contributions to (during year) | | | |
| 3 | Aggregate value of grants from (during year) | | | |
| 4 | Aggregate value at end of year | | | |
| 5 | Did the organization inform all donors and donor advisors in writing | ng that the assets held in donor advis | sed funds | |
| | are the organization's property, subject to the organization's excl | _ | | Yes No |
| 6 | Did the organization inform all grantees, donors, and donor advise | | | |
| | for charitable purposes and not for the benefit of the donor or do | | | |
| | impermissible private benefit? | | | Yes No |
| Pai | | | | , . |
| 1 | Purpose(s) of conservation easements held by the organization (or | check all that apply). | | |
| | Preservation of land for public use (for example, recreation | or education) Preservation of | a historically | important land area |
| | Protection of natural habitat | Preservation of | a certified hi | storic structure |
| | Preservation of open space | | | |
| 2 | Complete lines 2a through 2d if the organization held a qualified of | conservation contribution in the form | of a conserv | ation easement on the last |
| | day of the tax year. | | | Held at the End of the Tax Year |
| а | Total number of conservation easements | | 2a | |
| b | Total acreage restricted by conservation easements | | 2b | |
| С | Number of conservation easements on a certified historic structu | re included in (a) | 2c | |
| d | Number of conservation easements included in (c) acquired after | 7/25/06, and not on a historic struct | ure | |
| | listed in the National Register | | 2d | |
| 3 | Number of conservation easements modified, transferred, release | ed, extinguished, or terminated by the | e organizatio | n during the tax |
| | year | | | |
| 4 | Number of states where property subject to conservation easeme | ent is located | | |
| 5 | Does the organization have a written policy regarding the periodic | monitoring, inspection, handling of | | |
| | violations, and enforcement of the conservation easements it hold | | | |
| 6 | Staff and volunteer hours devoted to monitoring, inspecting, hand | dling of violations, and enforcing con | servation eas | sements during the year |
| | | | | |
| 7 | Amount of expenses incurred in monitoring, inspecting, handling | of violations, and enforcing conserva | tion easeme | nts during the year |
| _ | > \$ | | | |
| 8 | Does each conservation easement reported on line 2(d) above sa | • | | |
| _ | and section 170(h)(4)(B)(ii)? | | | |
| 9 | In Part XIII, describe how the organization reports conservation e | • | | |
| | balance sheet, and include, if applicable, the text of the footnote | to the organization's financial statem | ents that de | scribes the |
| Dai | organization's accounting for conservation easements. † III Organizations Maintaining Collections of Ar | t Historical Treasures or O | thar Simi | ar Assats |
| ı aı | Complete if the organization answered "Yes" on Form 990 | | | idi Assets. |
| 12 | If the organization elected, as permitted under FASB ASC 958, no | | and balance | shoot works |
| Ia | of art, historical treasures, or other similar assets held for public e | • | | |
| | service, provide in Part XIII the text of the footnote to its financial | | | public |
| h | If the organization elected, as permitted under FASB ASC 958, to | | | et works of |
| | art, historical treasures, or other similar assets held for public exh | | | |
| | provide the following amounts relating to these items: | ibition, education, or research in furt | norance or p | abile service, |
| | (i) Revenue included on Form 990, Part VIII, line 1 | | • | \$ |
| | (ii) Assets included in Form 990, Part X | | | \$ |
| 2 | If the organization received or held works of art, historical treasur | | | * |
| _ | the following amounts required to be reported under FASB ASC 9 | • | gairi, provid | |
| а | Revenue included on Form 990, Part VIII, line 1 | | • | \$ |
| | Assets included in Form 990, Part X | | | |

Schedule D (Form 990) 2019 COMPASSIONATE ACTION FOR ANIMALS 41-1846192 Page 2

| Pai | t III Organizations Maintaining Coll | ections of A | rt, Hist | torical Tr | easures, o | or Othe | r Simil | ar Asse | ts (continue | ed) |
|---------------|--|-------------------|--------------|----------------|----------------|-------------|-------------------|-----------------|---------------------|----------|
| 3 | Using the organization's acquisition, accession, | and other record | ds, check | any of the | following tha | at make si | gnificant | use of its | | |
| | collection items (check all that apply): | | | | | | | | | |
| а | Public exhibition d Loan or exchange program | | | | | | | | | |
| b | Scholarly research | e | | | | | | | | |
| С | Preservation for future generations | | | | | | | | | |
| 4 | Provide a description of the organization's collection | ctions and explai | in how th | ney further t | he organizati | ion's exen | npt purpo | se in Par | t XIII. | |
| 5 | During the year, did the organization solicit or re | ceive donations | of art, hi | storical trea | asures, or oth | er similar | assets | | | |
| | to be sold to raise funds rather than to be maint | ained as part of | the orga | nization's c | ollection? | | | | Yes | No_ |
| Pai | t IV Escrow and Custodial Arrange | ments. Compl | ete if the | organizatio | n answered | "Yes" on I | Form 990 |), Part IV, | line 9, or | |
| | reported an amount on Form 990, Part X, | | | | | | | | | |
| 1a | Is the organization an agent, trustee, custodian | | • | | | | | | 7 | |
| | on Form 990, Part X? | | | | | | | L | Yes | └── No |
| b | If "Yes," explain the arrangement in Part XIII and | complete the fo | ollowing t | able: | | | | | | |
| | | | | | | | | | Amount | |
| С | Beginning balance | | | | | | 1c | | | |
| | Additions during the year | | | | | | | | | |
| е | Distributions during the year | | | | | | | | | |
| f | Ending balance | | | | | | | | | |
| | Did the organization include an amount on Form | | | | | | ty? | L | Yes | ├ No |
| $\overline{}$ | If "Yes," explain the arrangement in Part XIII. Ch | | | | | | | | | |
| Pai | <u>'</u> | | | | 1 | | | | | |
| | | a) Current year | (b) P | rior year | (c) Two year | rs back (| d) Three y | ears back | (e) Four ye | ars back |
| | Beginning of year balance | | | | | | | | | |
| | Contributions | | | | | | | | | |
| | Net investment earnings, gains, and losses | | | | | | | | | |
| | Grants or scholarships | | | | | | | | | |
| е | Other expenditures for facilities | | | | | | | | | |
| | and programs | | | | | | | | | |
| | Administrative expenses | | | | | | | | | |
| g | End of year balance | | <u> </u> | | | | | | | |
| 2 | Provide the estimated percentage of the current | • | | g, column (a | a)) held as: | | | | | |
| | Board designated or quasi-endowment | | % | | | | | | | |
| | Permanent endowment | % | | | | | | | | |
| С | Term endowment \(\bigs\) \(\bigs\) | | | | | | | | | |
| • | The percentages on lines 2a, 2b, and 2c should | • | | | | | | | | |
| За | Are there endowment funds not in the possession | on of the organiz | ation tha | it are held a | and administe | ered for th | e organiz | zation | L. | I N- |
| | by: Yes No | | | | | | | | | |
| | (i) Unrelated organizations 3a(i) | | | | | | | | | |
| | (ii) Related organizations 3a(ii) | | | | | | | | | |
| 4 | b If "Yes" on line 3a(ii), are the related organizations listed as required on Schedule R? Describe in Part XIII the intended uses of the organization's endowment funds. | | | | | | | | | |
| | t VI Land, Buildings, and Equipmen | | JWITIETT | urius. | | | | | | |
| ı aı | Complete if the organization answered "Y | | 0 Part IV | / line 11a 9 | See Form 990 |) Part X I | ine 10 | | | |
| | Description of property | (a) Cost or o | | • | t or other | | cumulate | <u>и</u> | (d) Rook) | valuo. |
| | besomption of property | basis (investr | | . , | (other) | | reciation | ,u | (d) Book v | alue |
| 12 | Land | 222.3 (1110011 | , | 24010 | (| 339 | 33.46011 | | | |
| | Land Buildings | | | | | | | | | |
| | Leasehold improvements | | | | | | | - - | | |
| | d Equipment | | | | | | | | | |
| | Other | | | | | | | | | |
| | Add lines 1a through 1e. (Column (d) must equa | al Form 990, Part | X, colun | nn (B), line 1 | 10c.) | | | | | 0. |

Schedule D (Form 990) 2019

| | | PUBLIC | DI | SCLOS | SURE | COPY | / | | |
|--------------------|----------------|------------------------------------|----------|---|----------------|---------------------|-------------|-----------------|--------|
| Schedule D (Form | 990) 2019 | COMPASSION | ATE | ACTION FOR | RANIMAL | S | 41-1 | 1846192 | Page 3 |
| | | - Other Securities. | | | | | | | |
| | | rganization answered "Yes | | | | | | | |
| | | egory (including name of security) | _ | (b) Book value | (c) Metho | od of valuation: Co | st or end-o | f-year market v | /alue |
| | | | | | | | | | |
| (2) Closely held e | equity interes | ts | . | | | | | | |
| (3) Other | | | | | | | | | |
| (A) | | | _ | | | | | | |
| (B) | | | + | | | | | | |
| (C) | | | _ | | | | | | |
| (D) | | | | | | | | | |
| (E) | | | + | | | | | | |
| (F) | | | + | | | | | | |
| (G) (H) | | | | | | | | | |
| | t equal Form 9 | 90, Part X, col. (B) line 12.) | _ | | | | | | |
| | | - Program Related. | | | | | | | |
| | | rganization answered "Yes | s" on F | orm 990 Part IV line | 11c See Form | 990 Part X line 1 | 13 | | |
| | | of investment | 1 | (b) Book value | | nd of valuation: Co | | f-year market v | value |
| (1) | | | | | | | | | |
| (2) | | | | | | | | | |
| (3) | | | | | | | | | |
| (4) | | | | | | | | | |
| (5) | | | | | | | | | |
| (6) | | | | | | | | | |
| (7) | | | | | | | | | |
| (8) | | | | | | | | | |
| (9) | | | | | | | | | |
| | | 90, Part X, col. (B) line 13.) | <u> </u> | | | | | | |
| | er Assets | | | | | | | | |
| Com | plete if the o | rganization answered "Yes | | | 11d. See Form | n 990, Part X, line | 15. | | |
| | | (8 | a) Desc | cription | | | | (b) Book va | ılue |
| (1) | | | | | | | | | |
| (2) | | | | | | | | | |
| (3) | | | | | | | | | |
| (4) | | | | | | | | | |
| (5) | | | | | | | | | |
| <u>(6)</u> (7) | | | | | | | | | |
| (8) | | | | | | | | | |
| (9) | | | | | | | | | |
| | must equal | Form 990, Part X, col. (B) I | ine 15 |) | | | | | |
| | er Liabilit | | <i></i> | / | | | | | |
| | | rganization answered "Yes | s" on F | orm 990, Part IV. line | 11e or 11f. Se | e Form 990. Part X | (, line 25. | | |
| 1. | • | Description of liability | | , | | , | | (b) Book va | alue |
| | come taxes | | | | | | | | |
| (2) CREDI | T CARD | | | | | | | 1 | ,226. |
| OMITED | OTTDETT | ATOL T T A D T T T OT T | ~ | | | | | | 2.01 |

(3) OTHER CURRENT LIABILITIES (4) (5) (6) (7) (8)

Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) 2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FASB ASC 740. Check here if the text of the footnote has been provided in Part XIII...

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PUBLIC DISCLOSURE COPY COMPASSIONATE ACTION FOR ANIMALS Schedule D (Form 990) 2019 Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return. Complete if the organization answered "Yes" on Form 990, Part IV, line 12a. Total revenue, gains, and other support per audited financial statements 1 2 Amounts included on line 1 but not on Form 990, Part VIII, line 12: a Net unrealized gains (losses) on investments Donated services and use of facilities 2b c Recoveries of prior year grants 2c d Other (Describe in Part XIII.) e Add lines 2a through 2d 2e Subtract line 2e from line 1 Amounts included on Form 990, Part VIII, line 12, but not on line 1: a Investment expenses not included on Form 990, Part VIII, line 7b **b** Other (Describe in Part XIII.) c Add lines 4a and 4b Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.) 5 Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return. Complete if the organization answered "Yes" on Form 990, Part IV, line 12a. Total expenses and losses per audited financial statements 1 1 Amounts included on line 1 but not on Form 990. Part IX. line 25: a Donated services and use of facilities 2a **b** Prior year adjustments 2c c Other losses d Other (Describe in Part XIII.) e Add lines 2a through 2d 2e 3 Subtract line 2e from line 1 3 4 Amounts included on Form 990, Part IX, line 25, but not on line 1: a Investment expenses not included on Form 990, Part VIII, line 7b **b** Other (Describe in Part XIII.) c Add lines 4a and 4b 4c Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.) Part XIII Supplemental Information. Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

932054 10-02-19 Schedule D (Form 990) 2019

SCHEDULE O (Form 990 or 990-EZ)

Department of the Treasury

Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

. Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ. ► Go to www.irs.gov/Form990 for the latest information. Open to Public

OMB No. 1545-0047

Inspection

Name of the organization

COMPASSIONATE ACTION FOR ANIMALS

Employer identification number 41-1846192

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS: DIRECT OUTREACH: WE REACHED OVER 10,400 PEOPLE WITH LEAFLETS, VIDEO OUTREACH, AND VEGAN FOOD GIVEAWAYS. THESE ACTIONS OPEN HEARTS AND MINDS TO THE REALITIES OF FACTORY FARMING AND THE ACCESSIBILITY OF VEGAN FOOD OPTIONS. EXPLORE VEG PROGRAM: THE EXPLORE VEG PROGRAM HELPS PEOPLE MOVE TO A VEG DIET AND LEARN ABOUT ANIMAL PROTECTION ISSUES. IN 2020, WE'LL BE ROLLING OUT DAILY CHALLENGES FOR PARTICIPANTS. FORM 990, PART VI, SECTION B, LINE 11B: OUR TREASURER WILL REVIEW THE 990 IN DETAIL, PROVIDE IT TO THE BOARD, AND MAKE A RECOMMENDATION TO THE BOARD. FORM 990, PART VI, SECTION B, LINE 12C: OFFICERS/DIRECTORS/TRUSTEES ARE REQUIRED TO DISCLOSE POTENTIAL CONFLICTS WHEN THEY ASSUME THEIR POSITION, AND ANNUALLY AT THE FIRST MEETING OF THE YEAR. FORM 990, PART VI, SECTION B, LINE 15: THE PROCESS OCCURS ANNUALLY AND INCLUDES AN ANNUAL EVALUATION AND REVIEW OF SALARY.

FORM 990, PART VI, SECTION C, LINE 19:

OUR BYLAWS AND CONFLICT OF INTEREST POLICY, AS WELL AS OUR 990 FORMS ARE

| Schedule O (Form 990 or 990-EZ) (2019) | Page 2 |
|--|---|
| Name of the organization COMPASSIONATE ACTION FOR ANIMALS | Employer identification number 41-1846192 |
| AVAILABLE ON OUR WEBSITE. | |
| | |
| FORM 990, PART XII, LINE 2C: | |
| THIS POLICY HAS NOT CHANGED FROM THE PRIOR YEAR. | |
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